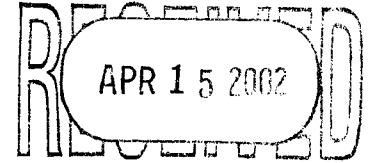


**ARIZONA CORPORATION COMMISSION**  
**UTILITIES DIVISION**



**ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY**

G

SW-02326A  
GREENEHAVEN SEWER COMPANY, INC.  
P.O. BOX 5122  
PAGE AZ 86040-5122

**ANNUAL REPORT**

**FOR YEAR ENDING**

12	31	2001
----	----	------

FOR COMMISSION USE

ANN05	01
-------	----

PROCESSED BY:

4-17-02 Com

SCANNED

## COMPANY INFORMATION

**Company Name (Business Name)** GREENEHAVEN SEWER COMPANY, INC.

**Mailing Address** P.O. BOX 5122

(Street)

PAGE

AZ

86040-5122

(City)

(State)

(Zip)

928-353-4111

928-353-4191

928-691-1173

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**Local Office Mailing Address** P.O. BOX 5122

(Street)

PAGE

AZ

86040-5122

(City)

(State)

(Zip)

928-353-4354

928-353-4191

928-691-1173

Local Office Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

## MANAGEMENT INFORMATION

**Management Contact:** CARL S. BIXLER

(Name)

VP/SECRETARY

(Title)

P.O. BOX 1884

(Street)

CAVE CREEK,

(City)

AZ

(State)

85327-1884

(Zip)

480-488-2760

Telephone No. (Include Area Code)

480-488-0441

Fax No. (Include Area Code)

602-710-1531

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**On Site Manager:** TED CLOUSE, JR.

(Name)

P.O. BOX 5122

(Street)

PAGE

(City)

AZ

(State)

86040-5122

(Zip)

928-353-4111

Telephone No. (Include Area Code)

928-353-4191

Fax No. (Include Area Code)

928-691-1173

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**Statutory Agent:** DAVID ENGLEMAN

(Name)

3636 NORTH CENTRAL AVE

(Street)

PHOENIX

(City)

AZ

(State)

85012-1985

(Zip)

602-271-9090

Telephone No. (Include Area Code)

602-222-4999

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Attorney:** DAVID ENGLEMAN

(Name)

3636 NORTH CENTRAL AVE

(Street)

PHOENIX,

(City)

AZ

(State)

85012-1985

(Zip)

602-271-9090

Telephone No. (Include Area Code)

602-222-4999

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

### OWNERSHIP INFORMATION

Check the following box that applies to your company:

☐ Sole Proprietor (S)

☒ C Corporation (C) (Other than Association/Co-op)

☐ Partnership (P)

☐ Subchapter S Corporation (Z)

☐ Bankruptcy (B)

☐ Association/Co op (A)

☐ Receivership (R)

☐ Limited Liability Company

☐ Other (Describe) \_\_\_\_\_

### COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ APACHE

☐ COCHISE

☒ COCONINO

☐ GILA

☐ GRAHAM

☐ GREENLEE

☐ LA PAZ

☐ MARICOPA

☐ MOHAVE

☐ NAVAJO

☐ PIMA

☐ PINAL

☐ SANTA CRUZ

☐ YAVAPAI

☐ YUMA

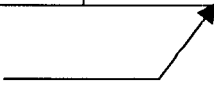
☐ STATEWIDE

**COMPANY NAME**

GREENEHAVEN SEWER COMPANY, INC.

**UTILITY PLANT IN SERVICE**

<b>Acct. No.</b>	<b>DESCRIPTION</b>	<b>Original Cost (OC)</b>	<b>Accumulated Depreciation (AD)</b>	<b>O.C.L.D. (OC less AD)</b>
351	Organization			
352	Franchises			
353	Land and Land Rights	6,005.03		6,005.03
354	Structures and Improvements	149,485.95	75,068.79	74,417.16
355	Power Generation Equipment			
360	Collection Sewers – Force			
361	Collection Sewers – Gravity			
362	Special Collecting Structures			
363	Services to Customers			
364	Flow Measuring Devices			
365	Flow Measuring Installations			
370	Receiving Wells			
380	Treatment and Disposal Equip.			
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment	1,129.80	1,129.80	
391	Transportation Equipment			
393	Tools, Shop and Garage Equip.	2,770.90	2,770.90	
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant	706.65	706.65	
	<b>TOTALS</b>	160,098.33	79,676.14	80,422.19

This amount goes on the Balance Sheet Acct. No. 108 

COMPANY NAME

GREENHAVEN SEWER COMPANY, INC

**CALCULATION OF DEPRECIATION EXPENSE**

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
351	Organization			
352	Franchises			
353	Land and Land Rights			
354	Structures and Improvements	149,485.95	3.17	4,742.73
355	Power Generation Equipment			
360	Collection Sewers – Force			
361	Collection Sewers – Gravity			
362	Special Collecting Structures			
363	Services to Customers			
364	Flow Measuring Devices			
365	Flow Measuring Installations			
370	Receiving Wells			
380	Treatment and Disposal Equip.			
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment			
391	Transportation Equipment			
393	Tools, Shop and Garage Equip.			
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	<b>TOTALS</b>	149,485.95		4,742.73

This amount goes on Comparative Statement of Income and Expense Acct. 403

<b>COMPANY NAME</b>	GREENEHAVEN SEWER COMPANY, INC.
---------------------	---------------------------------

## **BALANCE SHEET**

Acct. No.		BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
	<b>ASSETS</b>		
	<b>CURRENT AND ACCRUED ASSETS</b>		
131	Cash	\$ 4,325	\$ 6,139
132	Special Deposits		
135	Temporary Cash Investments		
141	Customer Accounts Receivable	86	140
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	<b>TOTAL CURRENT AND ACCRUED ASSETS</b>	\$ 4,411	\$ 6,279
	<b>FIXED ASSETS</b>		
101	Utility Plant in Service	\$ 160,098	\$ 160,098
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	74,933	(79,676)
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	<b>TOTAL FIXED ASSETS</b>	\$ 85,165	\$ 80,422
	<b>TOTAL ASSETS</b>	\$ 89,576	\$ 86,701

NOTE: Total Assets on this page should equal **Total Liabilities and Capital** on the following page.

### **BALANCE SHEET (CONTINUED)**

<b>Acct. No.</b>	<b>LIABILITIES</b>	<b>BALANCE AT BEGINNING OF TEST YEAR</b>	<b>BALANCE AT END OF YEAR</b>
	<b>CURRENT LIABILITIES</b>		
231	Accounts Payable	\$	\$
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes	135	145
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities	87,079	92,774
	<b>TOTAL CURRENT LIABILITIES</b>	<b>\$ 87,214</b>	<b>\$ 92,919</b>
	<b>LONG-TERM DEBT (Over 12 Months)</b>		
224	Long-Term Notes and Bonds	\$	\$
	<b>DEFERRED CREDITS</b>		
252	Advances in Aid of Construction	\$	\$
253	Other Deferred Credits		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	<b>TOTAL DEFERRED CREDITS</b>	<b>\$</b>	<b>\$</b>
	<b>TOTAL LIABILITIES</b>	<b>\$ 87,214</b>	<b>\$ 92,919</b>
	<b>CAPITAL ACCOUNTS</b>		
201	Common Stock Issued	\$ 10,000	\$ 10,000
211	Other Paid in Capital		
215	Retained Earnings	(7,638)	(16,218)
218	Proprietary Capital (Sole Props and Partnerships)		
	<b>TOTAL CAPITAL</b>	<b>\$ 2,362</b>	<b>\$ (6,218)</b>
	<b>TOTAL LIABILITIES AND CAPITAL</b>	<b>\$ 89,576</b>	<b>\$ 86,701</b>

**COMPANY NAME** GREENEHAVEN SEWER COMPANY, INC.

## **COMPARATIVE STATEMENT OF INCOME AND EXPENSE**

	<b>OPERATING REVENUES</b>	<b>PRIOR YEAR</b>	<b>TEST YEAR</b>
521	Flat Rate Revenues	\$ 25,319	\$ 25,503
522	Measured Revenues		
536	Other Wastewater Revenues	1,240	1,859
	<b>TOTAL REVENUES</b>	\$ 26,559	\$ 27,362
	<b>OPERATING EXPENSES</b>		
701	Salaries and Wages	\$ 18,885	\$ 20,119
710	Purchased Wastewater Treatment		
711	Sludge Removal Expense		
715	Purchased Power		
716	Fuel for Power Production		
718	Chemicals		
720	Materials and Supplies	432	1,017
731	Contractual Services – Professional		
735	Contractual Services – Testing	527	1,280
736	Contractual Services – Other		
740	Rents	300	
750	Transportation Expense	718	649
755	Insurance Expense		
765	Regulatory Commission Expense		
775	Miscellaneous Expense minor repairs	689	6,548
403	Depreciation Expense	5,273	4,743
408	Taxes Other Than Income	347	315
408.11	Property Taxes	1,355	1,272
409	Income Taxes		
	<b>TOTAL OPERATING EXPENSES</b>	\$ 28,526	\$ 35,943
	<b>OTHER INCOME/EXPENSE</b>		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	<b>TOTAL OTHER INCOME/EXP</b>	\$	\$
	<b>NET INCOME/(LOSS)</b>	\$ (1,967)	\$ (8,580)



**COMPANY NAME** GREENEHAVEN SEWER COMPANY, INC.

**SUPPLEMENTAL FINANCIAL DATA**

**Long-Term Debt**

	<b>LOAN #1</b>	<b>LOAN #2</b>	<b>LOAN #3</b>	<b>LOAN #4</b>
Date Issued	1977			
Source of Loan	PARENT CO.			
ACC Decision No.				
Reason for Loan	BUILD IMPROV			
Dollar Amount Issued	\$ 93,343	\$	\$	\$
Amount Outstanding	\$ 93,343	\$	\$	\$
Date of Maturity				
Interest Rate	%	%	%	%
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

**COMPANY NAME** GREENEHAVEN SEWER COMPANY, INC.

## WASTEWATER COMPANY PLANT DESCRIPTION

### TREATMENT FACILITY

<b>TYPE OF TREATMENT</b> (Extended Aeration, Step Aeration, Oxidation Ditch, Aerobic Lagoon, Anaerobic Lagoon, Trickling Filter, Septic Tank, Wetland, Etc.)	LAGOON AERATION & CHLORINATION
<b>DESIGN CAPACITY OF PLANT</b> (Gallons Per Day)	185,000

### **LIFT STATION FACILITIES**

Location	Quantity of Pumps	Horsepower Per Pump	Capacity Per Pump (GPM)	Wet Well Capacity (gals)
NONE-GRAVITY FLOW				

### FORCE MAINS

Size	Material	Length (Feet)
4-inch	NONE	
6-inch	NONE	

### MANHOLES

Type	Quantity
Standard	101
Drop	3

### CLEANOUTS

Quantity
8" - 4

**COMPANY NAME** GREENEHAVEN SEWER COMPANY, INC.

**WASTEWATER COMPANY PLANT DESCRIPTION CONTINUED**

**COLLECTION MAINS**

Size (in inches)	Material	Length (in feet)
4		800
6		-0-
8		26,345
10		1,200
12		
15		
18		
21		
24		
30		

**SERVICES**

Size (in inches)	Material	Quantity
4	n/a	
6		
8		
12		
15		

**FOR THE FOLLOWING FIVE ITEMS, LIST THE UTILITY OWNED ASSETS IN EACH CATEGORY**

<b>SOLIDS PROCESSING AND HANDLING FACILITIES</b>	n/a
<b>DISINFECTION EQUIPMENT</b> (Chlorinator, Ultra-Violet, Etc.)	n/a
<b>FILTRATION EQUIPMENT</b> (Rapid Sand, Slow Sand, Activated Carbon, Etc.)	n/a
<b>STRUCTURES</b> (Buildings, Fences, Etc.)	LAGOONS FENCED
<b>OTHER</b> (Laboratory Equipment, Tools, Vehicles, Standby Power Generators, Etc.)	N?A

<b>COMPANY NAME</b> GREENEHAVEN SEWER COMPANY	01
---	----

### **WASTEWATER FLOWS**

<b>MONTH/YEAR</b> (Most Recent 12 Months)	<b>NUMBER OF SERVICES</b>	<b>TOTAL MONTHLY SEWAGE FLOW</b>	<b>SEWAGE FLOW ON PEAK DAY</b>
Jan 01	216	224,800	
Feb 01	216	253,880	
Mar 01	217	346,730	
Apr 01	218	328,130	
May 01	220	385,280	
Jun 01	223	490,780	
Jul 01	223	433,080	
Aug 01	222	418,560	
Sep 01	222	320,560	
Oct 01	226	295,580	
Nov 01	227	232,810	
Dec 01	227	203,450	

### **PROVIDE THE FOLLOWING INFORMATION AS APPLICABLE**

<b>Method Of Effluent Disposal</b> (leach field, surface water discharge, reuse, injection wells, groundwater recharge, evaporation ponds, etc.)	evaporation
<b>Wastewater Inventory Number</b> (all wastewater systems are assigned an inventory number)	101365
<b>Groundwater Permit Number</b>	
<b>ADEQ Aquifer Protection Permit Number</b>	
<b>ADEQ Reuse Permit Number</b>	
<b>EPA NPDES Permit Number</b>	

STATISTICAL INFORMATION

sewer

Total number of customers	226	
Total number of gallons treated	3,705,640	gallons

**COMPANY NAME** Greenehaven Sewer Company **YEAR ENDING 12/31/2001**

**INCOME TAXES**

**For this reporting period, provide the following:**

**Federal Taxable Income Reported** 0  
**Estimated or Actual Federal Tax Liability** 0

**State Taxable Income Reported** 0  
**Estimated or Actual State Tax Liability** 0

**Amount of Grossed-Up Contributions/Advances:**

**Amount of Contributions/Advances** 0  
**Amount of Gross-Up Tax Collected** 0  
**Total Grossed-Up Contributions/Advances** 0

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

**CERTIFICATION**

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

  
**SIGNATURE**

04-05-02  
**DATE**

Carl S. BIXLER  
**PRINTED NAME**

Vice Presient/Secretary  
**TITLE**

COMPANY NAME Greenehaven Sewer Company

YEAR ENDING 12/31/2001

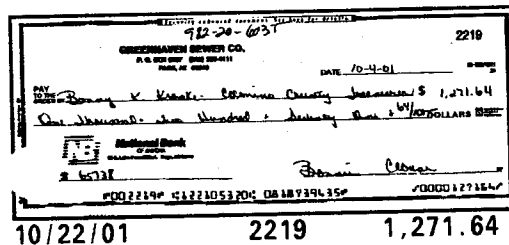
### PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2001 was: \$ 1271.64

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. \_\_\_\_\_

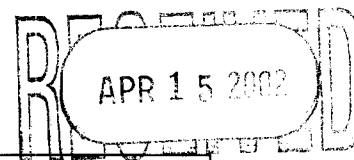
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



10/22/01 2219 1,271.64

**VERIFICATION  
AND  
SWORN STATEMENT**  
Intrastate Revenues Only

ARIZONA CORPORATION  
COMMISSION



**VERIFICATION**

STATE OF \_\_\_\_\_

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) COCONINO
NAME (OWNER OR OFFICIAL) TITLE CARL S. BIXLER VICE PRESIDENT/SECRETARY
COMPANY NAME GREENEHAVEN SEWER COMPANY, INC.

**DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION**

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**SWORN STATEMENT**

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

\$ 29,030.28

(THE AMOUNT IN BOX ABOVE  
INCLUDES \$ 1,667.78  
IN SALES TAXES BILLED, OR COLLECTED

**\*\*REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

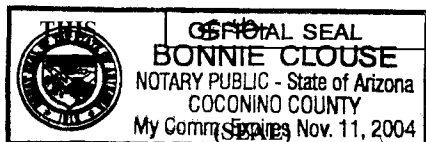
SIGNATURE OF OWNER OR OFFICIAL

928-353-4111

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF



DAY OF

COUNTY NAME Coconino	
MONTH April	2002

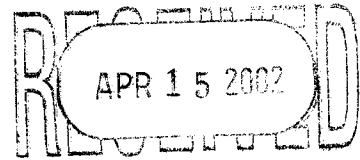
SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES 11-11-04



**VERIFICATION  
AND  
SWORN STATEMENT  
RESIDENTIAL REVENUE  
INTRASTATE REVENUES ONLY**

ARIZONA CORPORATION  
COMMISSION



**VERIFICATION**

**STATE OF ARIZONA**

**I, THE UNDERSIGNED**

**OF THE**

(COUNTY NAME) COCONINO

Director of Utilities

NAME (OWNER OR OFFICIAL)  
CARL S. BIXLER

TITLE  
VP/SECRETARY

COMPANY NAME  
GREENHAVEN SEWER COMPANY, INC.

**DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION**

**FOR THE YEAR ENDING**

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**SWORN STATEMENT**

**IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:**

ARIZONA INTRASTATE GROSS OPERATING REVENUES

\$ 29,030.28

(THE AMOUNT IN BOX AT LEFT  
INCLUDES \$ 1,667.78  
IN SALES TAXES BILLED, OR COLLECTED

**\*RESIDENTIAL REVENUE REPORTED ON THIS PAGE  
MUST INCLUDE SALES TAXES BILLED.**

X

SIGNATURE OF OWNER OR OFFICIAL

**SUBSCRIBED AND SWORN TO BEFORE ME**

**A NOTARY PUBLIC IN AND FOR THE COUNTY OF**

**THIS**

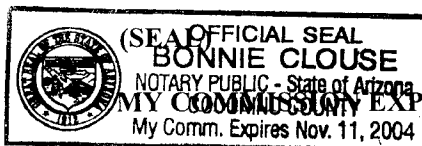
**DAY OF**

NOTARY PUBLIC NAME

COUNTY NAME  
COCONINO

MONTH APRIL

.20 02



MY COMMISSION EXPIRES 11-11-04

X

SIGNATURE OF NOTARY PUBLIC